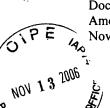
PTO/SB/21 (09-06)
Approved for use through 03/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL SFORM		Application Number		10/811,435		
		Filing Date		March 25, 2004		
		First Named Inventor		Alexander P. Payne		
NOV 1 3 2006		Art Unit		2873		
		Examiner Name		Thomas, Brandi N		
Total Number of Pages in This Submission 6			umbor		510 (P0309)	
Total Number of Pages in This Submis	sion 6	Attorney Docket N	umber	10021.002	510 (F030 9)	
	ENCLO	SURES (check all tha	t apply)			
Fee Transmittal Form	Drawing(s)			After Allowance Communication to TC		
Fee Attached	Licensing	-related Papers		Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply	Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After Final	Petition to Convert to a Provisional Application			Proprietary Information		
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address			☐ Status Letter		
Extension of Time Request		Disclaimer		Other Enclosure(s) (please identify below):		
_	Request f	Request for Refund		Return Receipt Postcard		
Express Abandonment Request	CD, Numl	CD, Number of CD(s)				
☐ Information Disclosure Statement ☐		ndscape Table on CD				
Certified Copy of Priority Document(s)	Remarks					
Reply to Missing Parts/						
Incomplete Application						
Reply to Missing Parts under 37 CFR1.52 or 1.53						
SIC	NATURE OF	APPLICANT, ATTO	RNEY, OI	R AGENT		
Firm OKAMOTO & BENEDICTO LLP						
Signature	ak ou					
Printed Name	rinted Name James K. Okamoto					
Date November 8, 20		Reg. No.		40,110		
CERTIFICATE OF TRANSMISSION/MAILING						
I hereby certify that this corresponde Service with sufficient postage as Alexandria, VA 22313-1450 on the da	first class mail i	in an envelope addre:	he USPTO ssed to: C	or deposited commissioner	with the United States Postal for Patents, P.O. Box 1450,	
Signature	1 .(4	. 0	_			
Typed or printed name James	<. Ogamoto			Date	November 8, 2006	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Docket No.: 10021.002510 (P0309)

Amendment and Response To Office Action

November 8, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Alexander P. Payne, et al.

Application No.:

10/811,435

Examiner:

Thomas, Brandi N.

Filing Date: March 25, 2004

Art Unit:

2873

Title: Diffractive Light Modulator

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE TO OFFICE ACTION

INTRODUCTORY COMMENTS

Sir:

This paper is responsive to the office action mailed on August 21, 2006.

//

//

//